



## Marc Rosenberg Speaking Engagements

### Investment Seminar at Japanese Chamber of Commerce & Industry of New York

July 9, 2014 | New York

“EXPLORATION INTO HEDGE FUNDS”

Presenter - **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### IMN 5th Annual Foundations & Endowments/ Police & Fire Summit

September 21, 2010 | San Diego, Ca.

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### IMN Public Funds Summit

March 1, 2010 | Huntington Beach, Ca.

STRUCTURED PRODUCTS AND DISTRESSED INVESTING IN THE CURRENT ENVIRONMENT

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### IMN’s Planned Sponsor Conference

September 22-23, 2009- San Diego, CA – Four Seasons

“SOLUTIONS AFTER MADOFF”

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### Opal – Chicago – Emerging Managers Conference

May 2009

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### IMN Dana Point Conference

March 16 & 17th 2009

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### Opal La Costa Conference

Feb 2nd 2009

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### Mar Hedge – Bermuda Institutional Investors

November 2008

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### Hedge Fund Institutional Forum

October 20-22 2008 | Four Seasons, Chicago, Illinois

Panelist – **Marc Rosenberg**, President, Quality Manager Consultants, LLC

---

### The 3rd Annual Foundations and Endowments Summit

Sept 22-23, 2008 | Arizona Biltmore Phoenix, AZ

*NEXT WAVE IN EQUITIES* - Moderator: **Marc Rosenberg**, President Quality Manager Consultants, LLC

The nation's private and community foundations achieved an average 13.7% gain on their investments last year. On average, foundations continue to decrease the portion of their assets invested in stocks of American companies. Institutions with the highest returns had larger than average allocations –20% in international equities—while domestic equity allocations fell to 18%. This session will explore the risks and rewards of seeking returns in this top performing traditional asset class.

---

### High Net Worth & Institutional Investor Symposium On High Performance Investing

March 26-28 2007 | Sporting D'hiver | Monte-Carlo, Monaco

CLOSING DISCUSSION: A LOOK AHEAD

Panel Chair/Moderator: **Marc Rosenberg**, President Quality Manager Consultants LLC

Panel Participants: Ian Ellis, President & Portfolio Manager MICROCAPITAL; Katalin Kutasi, Portfolio Manager KELLNER DILEO & CO.; Peter Lupoff, ROBECO

From Commodities to Shareholder Activism, Emerging Markets and Infrastructure, our closing session will explore some of the most (and least) obvious opportunities in the year ahead.

- . Making money in the wake of recent rapidly tightening risk spreads
  - . Will out-of-favor strategies return to their former glory?
  - . In an increasingly long-only environment, is long/short still a viable strategy?
  - . What about 130/30 strategies?
-

### Foundations and Endowments Summit

June 2003 in San Francisco, CA at the Pan Pacific Hotel

BEYOND THE HEADLINES AND HYPE: RETHINKING HEDGE FUNDS AND FUNDS-OF-FUNDS

Moderator: **Marc Rosenberg**, President, Quality Manager Consultants LLC

Panelists: Jeffery J. Mora, Managing Director, Aetos Capital, LLC; Thomas Giles, Chief Investment Officer, Dean Investment Associates; Andrew Walter, Vice President, Goldman Sachs Princeton Hedge Fund Strategies Group; Charlie Armendarez, Director, Pacific Alternative Asset Management Company; Stanislas Debreu, Managing Director, SG Cowen

Often considered "the" Alternative Investment, Hedge Funds are rapidly becoming a standard portfolio inclusion. Our panelists are experienced professionals from both sides of the Hedge Fund world who will present a comprehensive analysis of incorporating hedge funds into your portfolio.

- Transparency issues
- Due diligence, reporting, and the importance of diversification
- Valuation issues/Conflicts of Interest
- Capacity issues
- Lock-ups, exit strategies
- Are benchmarks an effective way of measuring true performance?
- Funds-of-Funds:
- Fees, Risks and Suitability

---

### 2003 Hedge Fund Investors' Summit In Arizona

Pre-Summit Chairman: **Marc Rosenberg**, President, Quality Manager Consultants LLC

Managers For Roundtables I, II, III:

Richard (Rj) Ennis, Fanam; Kevin Daly, Five Corners; Michael Katz, Glenrock Global; Jon Tiktinsky, Hulls Farm Capital; David Tice, David W. Tice & Associates; Ron Rosenberg, Zeus Capital LLC

---

### Pan Pacific 2002, E&F West Coast

June 24, 2002

THE SEARCH FOR ALPHA: CONSIDERING NEW INVESTMENT STRATEGIES WHILE CONTRASTING THE "ADVANTAGES" OF DIVERSIFYING INTO "MORE FAMILIAR" VS. "LESS FAMILIAR" OPTIONS

Moderator: Leslie Kautz, Principal, Angeles Investment Advisors

Panelists: Anne Casscells, Managing Director, Aetos Capital LLC; John Brynjolfsson, Manager, Pimco Real Return Bond Fund, Pimco ; **Marc Rosenberg**, Principal, Quality Management Consultants

When diversifying your portfolio, it is imperative that you thoroughly evaluate and analyze the numerous options available. This is particularly important in light of recent investment conditions. This session will present an overview of "Conventional" (i.e. more familiar) vs. "Unconventional" (i.e. less familiar) Investment Options and will address the numerous practical issues involved.

---

### **2002 High-Performance Investing Symposium, Monte-Carlo, Monaco**

#### FINDING VALUE IN A COMPLEX INVESTING ENVIRONMENT

**Moderator:** **Marc Rosenberg**, President Quality Manager Consultants LLC

**Panelists:** Ian Wylie, Managing Director GLG Partners; Nick Wilson, Managing Director Lehman Brothers; Frank Smith, President Strategic Financial Solutions

In the current low return, high volatility investing environment, it has become extremely difficult to identify outstanding hedge funds. In addition, the proliferation of hedge fund incubators has added many more new funds to the existing universe. How can investors increase their odds of selecting good funds? What questions need to be asked to determine if a fund fits your specific risk/reward profile? Are there strategies that make more (or less) sense in light of recent market developments? This session will attempt to arm investors with information that could prove invaluable as they evaluate new and review existing investments.

---

### **2002 Hedge Fund Investors' Summit In Arizona**

#### HEDGE FUND MANAGERS' ROUNDTABLE: STRATEGIES FOR MANAGING RISK IN THE CURRENT ENVIRONMENT

**Moderator:** **Marc Rosenberg**, President, Quality Manager Consultants LLC

**Panelists:** Andy Roediger, General Partner, Belvedere; David Tice, President, David Tice & Associates; Kevin Daly, Manager, Five Corners; Irene Hoover, Managing Partner, Hoover Investment Management; Mark Lapolla, Managing Partner, Petros Capital LLC

---

### **2001 ENDOWMENTS & FOUNDATIONS (E&F) WEST COAST, PAN PACIFIC HOTEL, JUNE 13, 2001**

#### HEDGE FUND ROUNDTABLE II--RISK-ADVERSE STRATEGIES

**Session Leader:** **Marc Rosenberg**, Principal, Quality Manager Consultants LLC

**Panelists:** \* Low-Risk vs. Long/Short Equities - Daniel Wimsatt, Bricoleur Capital; \* Long-Short Equity - Sean Kiernan, Contadora; \* Cautious Technology/Healthcare Fund - David Peterson, Desantis Capital Partners; \* U.S. Long/Short Trading - Fred Ketcher, FK Partners; \* Global Systematic Trading - Jon Stein, Rotella Capital; \* Small Cap Active Management - Warren Lichtenstein, Steel Partners

---

### **2001 Hedge Fund Investors' Summit in Scottsdale, Arizona**

#### HEDGE FUND INVESTORS' CHECKLIST: REASSESSING SOME FUNDAMENTAL ASSUMPTIONS ABOUT SERVICE PROVIDERS AND THEIR VALUE-ADDED IN 2001

**Moderator:** Bucky Isaacson, President, FUTURE FUNDING CONSULTANTS

**Panelists:** Tony Stocks, Director of Fund Services, CITCO; Dermot S. L. Butler, Chairman, Customhouse Administration & Corp. Services LTD. ; Diane Mix, President & Principal, Horizon Cash Management LLC;

Chris Zellner, Vice President, Lehman Brothers, INC.; **Marc Rosenberg**, President & Founder, Quality Manager Consultants LLC

---

### 2000 Hedge Fund Investors' Summit in Scottsdale, Arizona

10:30 BUILDING A PORTFOLIO: TOP-DOWN VS. BOTTOM-UP APPROACHES TO HEDGE FUND SELECTION

More often than with any other component of a portfolio, hedge fund investments tend to be made with a "rifle-shot" approach, rather than a developed strategy. Using an informal "debate," This session will explore two distinct approaches to constructing a hedge fund portfolio.

Moderator: Catherine Lynch, Associate Vice President for Treasury Management, George Washington University

The Top-Down Approach: This approach argues that the best way to capitalize on investment opportunities is by selecting markets and strategies that are best positioned to exploit the current market environment, and then selecting individual "best of breed" managers to execute their specific areas of expertise.

Michael Lind, Founding Principal, Meritage Advisors, LLC

- vs. -

The Bottom-Up Approach: This approach argues that the nature of the hedge fund business lends itself better to selecting individual managers based upon their specific investment abilities, with the expectation that they can perform across all market environments.

**Marc Rosenberg**, Executive Director, CIBC Oppenheimer

---

### 1999 Hedge Fund Investors' Summit, Scottsdale, Arizona

CORRELATED, OR NOT CORRELATED? IS MARKET-NEUTRAL A MYTH?

Session Leader: Paul T. Crowley, Director, Commonfund

Panelists: George Douglas, Vice President & Chief Investment Officer, SSI Investment Management Inc.; Michael McElroy, Senior Vice President, Independence Investment Associates; Jon Lukomnik, Managing Director, CDC Investment Management Corp.; **Marc Rosenberg**, Executive Director, CIBC Oppenheimer Private Client Group

What do you mean when you call a strategy "market-neutral"? For a fund to be truly market-neutral, did it have to be unaffected by the disturbances that hit the market in August and September 1998?

Managers and investors will seek to uncover why so many people feel misled by the term market-neutral and what can be done to better understand the risks involved in any fund that portends to be market-neutral.

Do managers understand the term to mean something different than investors?

Is there any strategy that is non-correlated in extreme market conditions?

What characteristics should a fund have to call itself "market-neutral"?

Which strategies proved to be the most non-correlated when the storm occurred?

What are the implications? Why market-neutral is not risk-neutral-what are the risks for equity strategies vs. fixed-income strategies?

---

### 1998 Hedge Fund Investors' Summit

THE SEARCH FOR RISKLESS RETURNS: MANAGING INVESTOR EXPECTATIONS

Moderator: Ralph Isham, Managing Director & Partner, GH Ventures Partners

Panelists: **Marc Rosenberg**, Executive Director - Investments, Private Client Division, CIBC Oppenheimer Corp.; Kermit Claytor, Research Analyst, Fund Advisors; Jean Karoubi, President, The Longchamp Group, Inc.

Are investor expectations of "market-neutral" investing realistic? Do investors have the long-term focus needed to follow a market-neutral approach during bull equity markets? Are there alternative "hedged" strategies / managers who can produce higher returns than typical market-neutral approaches?

---

### 1997 Bracing For A Market Downturn: Will Your Hedge Fund Investments Protect You?

New York City, January 6-7, 1997 and San Francisco, CA, January 13-14, 1997

Panelists: Cedd Moses, Portfolio Advisory Services; Harold Cohen, Preferred Investors LP; Neil Matlins, The Lincoln Fund; **Marc Rosenberg**, VP, Sutro & Co.